

## Kinetic theory in curved spacetimes: Applications to black holes

D. BINI<sup>(1)(2)(3)(\*)</sup> and D. GREGORIS<sup>(4)(2)(\*\*)</sup>

<sup>(1)</sup> *Istituto per le Applicazioni del Calcolo “M. Picone”, CNR - I-00185 Roma, Italy*

<sup>(2)</sup> *ICRA, University of Rome I “La Sapienza” - I-00185 Roma, Italy*

<sup>(3)</sup> *INFN, Sezione di Firenze - I-50019 Sesto Fiorentino (FI), Italy*

<sup>(4)</sup> *University of Rome I “La Sapienza” - I-00185 Roma, Italy*

ricevuto il 9 Marzo 2012

**Summary.** — The equilibrium statistical moments of the Jüttner distribution function for a massive and a photon gas in an arbitrary spacetime are evaluated using a covariant approach and applications are considered to the case of a Schwarzschild black hole background spacetime. The motion of a massive test particle inside a photon gas is then studied to investigate drag effects on the particle motion due to radiation scattering, similarly to what happens for the so-called Poynting-Robertson effect.

PACS 04.20.Cv – Fundamental problems and general formalism.

### 1. – Covariant kinetic theory

Consider a Minkowski flat spacetime with line element written in standard Cartesian coordinates with  $x^0 = t$  and  $\eta_{\alpha\beta} = \text{diag}[-1, 1, 1, 1]$ <sup>(1)</sup>

$$(1) \quad ds^2 = \eta_{\alpha\beta} dx^\alpha dx^\beta = -dt^2 + \delta_{ab} dx^a dx^b$$

and let

$$(2) \quad P^b = -Edt + p_a dx^a, \quad P = E\partial_t + p^a \partial_a,$$

<sup>(\*)</sup> E-mail: [binid@icra.it](mailto:binid@icra.it)

<sup>(\*\*)</sup> E-mail: [danielegregoris@libero.it](mailto:danielegregoris@libero.it)

<sup>(1)</sup> Here greek indices run from 0 to 3 whereas latin ones from 1 to 3. We also use geometrized units with  $c = G = \hbar = 1$ .

the fully covariant ( $P^b$ ) as well as the contravariant ( $P$ ) representations of the 4-momentum of a particle with nonzero rest mass  $m$ , *i.e.*

$$(3) \quad P^2 \equiv P \cdot P = -m^2 = -E^2 + \delta_{ab} p^a p^b \equiv -E^2 + \mathbf{p}^2.$$

Let us consider a gas of such particles all equal and point-like in equilibrium at the (absolute) temperature  $T$ . The Jüttner distribution function [1]

$$(4) \quad f = \alpha e^{-\beta \sqrt{\mathbf{p}^2 + m^2}}, \quad \alpha = \frac{n\beta}{4\pi m^2 K_2(m\beta)},$$

is the correct special relativistic extension with respect to the metric (1) of the Maxwell-Boltzmann distribution function, accounting for a finite maximum speed of the particles. Here  $n$  is the particles density number,  $\beta = 1/(k_B T)$ , with  $k_B$  the Boltzmann constant, is the “inverse temperature” and  $K_2(x)$  is the modified Bessel function of second kind of second order of argument  $x$  [2]. An equivalent manifestly covariant form of eq. (4) is

$$(5) \quad f = \alpha e^{\beta \xi_\mu P^\mu},$$

where  $\xi_\mu = (\partial_t)_\mu$  is the time-like Killing vector of the metric (1), associated with the temporal coordinate  $t$  and  $P^\mu$  is given by eq. (2) (see, *e.g.*, [3-6] for a review of Kinetic theory in a curved spacetime). Recently [7] a covariant method to evaluate the statistical moments of  $f$  in the metric (1) has been introduced leading to the following expressions for the density current of particles and the stress-energy tensor:

$$(6) \quad N^\mu = 2 \int f \delta^+(P^2 + m^2) P^\mu \sqrt{-g} d^4 P = \frac{1}{\beta} \frac{\partial I}{\partial \xi_\mu},$$

$$(7) \quad T^{\mu\nu} = 2 \int f \delta^+(P^2 + m^2) P^\mu P^\nu \sqrt{-g} d^4 P = \frac{1}{\beta^2} \frac{\partial^2 I}{\partial \xi_\mu \partial \xi_\nu},$$

where functional generator  $I$  is given by

$$(8) \quad I = 2 \int f \delta^+(P^2 + m^2) \sqrt{-g} d^4 P = \int f \frac{\sqrt{-g}}{|p_t|} dp^1 \wedge dp^2 \wedge dp^3.$$

Here  $g = -1$  is the determinant of the metric (1) and the Dirac delta function takes into account the mass-shell condition  $P_\mu P^\mu = -m^2$  (the overall factor of 2 is necessary for a  $P$  future-oriented). Using the following parametrization for  $P$  (so that the normalization mass-shell condition is automatically satisfied)

$$(9) \quad P = m \left[ \cosh \chi \partial_t + \sinh \chi \hat{\nu} \right], \quad \hat{\nu} = \sin \theta \cos \phi \partial_x + \sin \theta \sin \phi \partial_y + \cos \theta \partial_z,$$

with  $0 \leq \chi \leq \infty$ ,  $0 \leq \theta \leq \pi$ ,  $0 \leq \phi \leq 2\pi$ , we can evaluate the integral  $I$

$$(10) \quad I = \frac{4\pi\alpha m}{\beta} K_1(m\beta),$$

where  $K_1(x)$  is the modified Bessel function of second kind of first order of argument  $x$ . The statistical moments follow easily due to the following property of the Bessel functions:

$$(11) \quad \frac{d}{dx}[x^{-n}K_n(x)] = -x^{-n}K_{n+1}(x),$$

leading to the following expressions:

$$(12) \quad N^\mu = N\xi^\mu, \quad T^{\mu\nu} = \frac{N}{\beta} \left( \eta^{\mu\nu} + \xi^\mu \xi^\nu J(m\beta) \right), \quad N = \frac{4\pi m^2 \alpha K_2(m\beta)}{\beta},$$

where  $J(x) = xK_3(x)/K_2(x)$ .

Similarly, in the case of a photon gas (a gas of particles with null 4-momentum  $P$ ) we can perform the same integral  $I$  by changing the parametrization of  $P$

$$(13) \quad P = \mathcal{E}(\partial_t + \hat{\nu}), \quad \hat{\nu} = \sin\theta \cos\phi \partial_x + \sin\theta \sin\phi \partial_y + \cos\theta \partial_z,$$

where  $\mathcal{E}$  is the photon energy as measured by the fiducial observers and  $0 \leq \chi \leq \infty$ ,  $0 \leq \theta \leq \pi$ ,  $0 \leq \phi \leq 2\pi$  because in this case the mass-shell constraint in the momentum space is  $P_\mu P^\mu = 0$ . Now the (divergence-free and trace-free) stress-energy tensor is

$$(14) \quad T^{\mu\nu} = \frac{C}{3\beta^4} \left[ \eta^{\mu\nu} + 4\xi^\mu \xi^\nu \right].$$

where in our units  $C = \frac{\pi^2}{15}$  has been determined comparing our result with the black-body theory.

Passing then to a generic spacetime with coordinates  $x^\alpha$  and metric  $ds^2 = g_{\alpha\beta} dx^\alpha dx^\beta$ , still admitting a killing timelike vector field  $\xi$ , it is easy to check that the above relations for a gas of massive particles gas can be extended by simply including a redshift factor for the temperature, that is

$$(15) \quad N^\mu = Nm^\mu, \quad T^{\mu\nu} = \frac{N}{\beta\xi} \left( g^{\mu\nu} + m^\mu m^\nu J(m\beta\xi) \right), \quad N = \frac{4\pi m^2 \alpha K_2(m\beta\xi)}{\beta\xi};$$

similarly, for a photon gas

$$(16) \quad T^{\mu\nu} = \frac{C}{3\beta^4 \xi^4} \left[ g^{\mu\nu} + 4m^\mu m^\nu \right],$$

where  $N = \sqrt{-N_\mu N^\mu}$ ,  $m^\mu = \xi^\mu/\xi$  (unitary and timelike) with  $\xi = \sqrt{-\xi_\mu \xi^\mu}$  representing the fiducial congruence of observers. Written in this form both quantities  $N^\mu$  and  $T^{\mu\nu}$  can be obtained from the generating functional

$$(17) \quad \mathcal{I} = (4\pi\alpha m^2) \frac{K_1(m\beta\xi)}{m\beta\xi}$$

and satisfy the corresponding conservation laws,  $\nabla_\mu N^\mu = 0$  and  $\nabla_\mu T^{\mu\nu} = 0$ . In the next section we will explicitly evaluate these quantities in the case of a Schwarzschild black-hole spacetime.

## 2. – Test gases on a Schwarzschild background

Let us consider as a background spacetime the Schwarzschild metric written in standard coordinates  $(t, r, \theta, \phi)$

$$(18) \quad ds^2 = -N(r)^2 dt^2 + N(r)^{-2} dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2, \quad N(r) = \sqrt{1 - \frac{2M}{r}},$$

( $M$  is the mass of the black hole). The metric (18) is static, *i.e.* it admits the time-like Killing vector  $\xi = \partial_t$ . A family of fiducial observers with 4-velocity aligned with  $\partial_t$  has the following adapted orthonormal frame:

$$(19) \quad e_{\hat{t}} = N(r)^{-1} \partial_t, \quad e_{\hat{r}} = N(r) \partial_r, \quad e_{\hat{\theta}} = \frac{1}{r} \partial_\theta, \quad e_{\hat{\phi}} = \frac{1}{r \sin \theta} \partial_\phi.$$

According to the general results of the previous section the energy-momentum tensor of a fluid of massive particles is characterized by

$$(20) \quad T = \frac{n K_2(m\beta N(r))}{\beta N^2(r) K_2(m\beta)} \left[ (J(m\beta N(r)) - 1) e_{\hat{t}} \otimes e_{\hat{t}} + \delta^{\hat{a}\hat{b}} e_{\hat{a}} \otimes e_{\hat{b}} \right],$$

and

$$(21) \quad N = \frac{4\pi m^2 \alpha K_2(m\beta N(r))}{\beta N(r)},$$

whereas a photon gas corresponds to

$$(22) \quad T = \frac{C}{(\beta N(r))^4} \left[ 3e_{\hat{t}} \otimes e_{\hat{t}} + \delta^{\hat{a}\hat{b}} e_{\hat{a}} \otimes e_{\hat{b}} \right].$$

**2.1. Scattering by a radiation field.** – Let us assume that a test photon gas, described by the energy-momentum tensor (22), is superposed to the Schwarzschild background and let us consider a single massive test particle in motion with 4-velocity

$$(23) \quad U = \gamma (e_{\hat{t}} + \nu^{\hat{a}} e_{\hat{a}}), \quad \gamma = \frac{1}{\sqrt{1 - \delta_{\hat{a}\hat{b}} \nu^{\hat{a}} \nu^{\hat{b}}}}.$$

The particle is then accelerated by the radiation field. Denoting by  $a(U)^\alpha = \nabla_U U^\alpha$  the particle's 4-acceleration, the equations of motion are given by

$$(24) \quad ma(U)^\alpha = -\sigma P(U)^\alpha{}_\mu T^{\mu\nu} U_\nu,$$

where  $\sigma$  is the cross section of the process (*e.g.*, Thomson scattering) and  $P(U)^{\hat{\alpha}}{}_{\hat{\nu}} = \delta^{\hat{\alpha}}_{\hat{\nu}} + U^{\hat{\alpha}} U_{\hat{\nu}}$  projects orthogonally to  $U$ . Let us limit our analysis to equatorial motion of

the test particle, *i.e.*,  $\theta = \pi/2$ ,  $\nu^{\hat{\theta}} = 0$ , allowed thanks to the spherical symmetry of the problem either in the presence of the radiation field. The equations of motion reduce to

$$(25) \quad \begin{aligned} \frac{d\nu^{\hat{r}}}{d\tau} &= -\frac{A\nu^{\hat{r}}}{N^4(r)} - N(r)\frac{\gamma}{r} \left[ \nu_K^2(1 - (\nu^{\hat{r}})^2) - (\nu^{\hat{\phi}})^2 \right], \\ \frac{dr}{d\tau} &= \gamma N(r)\nu^{\hat{r}}, \\ \frac{d\nu^{\hat{\phi}}}{d\tau} &= -\frac{A\nu^{\hat{\phi}}}{N^4(r)} + \frac{\gamma N(r)}{r\gamma_K^2} \nu^{\hat{\phi}}\nu^{\hat{r}}, \\ \frac{d\phi}{d\tau} &= \frac{\gamma}{r} \nu^{\hat{\phi}}, \end{aligned}$$

where we have introduced the Keplerian velocity  $\nu_K$  with the Lorentz factor  $\gamma_K = (1 - \nu_K^2)^{-1/2}$  and the coupling constant  $A$  between the test particle and the field:

$$(26) \quad \nu_K^2 = \frac{M}{r - 2M}, \quad \gamma_K^2 = \frac{r - 2M}{r - 3M}, \quad A = 8 \frac{\sigma C}{m\beta^4}.$$

The numerical integration of these equations shows that a spiral (inward) motion is the general feature; in particular a particle initially at  $r = 6M$  (innermost stable circular orbit for the Schwarzschild metric) with initial null radial velocity and initial azimuthal velocity coinciding with the geodesic value  $\nu_K$  falls anyway into the black hole. In addition, we see that there are not equilibrium orbits, irrespective of the value of  $\sigma$  which quantifies the intensity of the process.

This study broadens the one present in [8,9] where a different description of the photon field is considered. Comparing and contrasting more in detail with the above-mentioned works as well as with other related literature will be the object of a future work.

## REFERENCES

- [1] JÜTTNER F., *Ann. Phys. Chem.*, **34** (1911) 856; **35** (1911) 145; *Z. Naturforsch. A: Phys. Sci.*, **47** (1928) 542.
- [2] GRADSHTEYN I. S. and RYZHIK I. M., *Tables of Integrals, Series and Products* (Academic Press, New York) 1980.
- [3] BERNSTEIN J., *Kinetic Theory in the Expanding Universe* (Cambridge University Press) 1988.
- [4] CERCIGNANI C. and KREMER G. M., *The Relativistic Boltzmann Equation: Theory and Applications* (Springer-Verlag, Birkhäuser, Basel) 2002.
- [5] EHLERS J., FORD J., GEORGE C., MILLER R., MONTROLL E., SCHIEVE W. C. and TURNER J. S., *Lectures in Statistical Physics* (Springer-Verlag, Berlin-Heidelberg-New York) 1974.
- [6] STEWART J. M., *Non-Equilibrium Relativistic Kinetic Theory, Lect. Notes Phys.*, Vol. **10** (Springer) 1971.
- [7] CHACON-ACOSTA G., DAGDUG L. and MORALES-TECOTL H. A., *Phys. Rev. E*, **81** (2010) 021126.
- [8] BINI D., JANTZEN R. and STELLA L., *Class. Quantum Grav.*, **26** (2009) 055009.
- [9] BINI D., GERALICO A., JANTZEN R., SEMERÁK O. and STELLA L., *Class. Quantum Grav.*, **28** (2011) 035008.