

Elucidating the underlying causes of oral cancer through spatial clustering in high-risk areas of Taiwan with a distinct gender ratio of incidence

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Abstract. This study aimed to elucidate whether or not high-risk clusters of oral cancer (OC) incidence spatially correlate with the prevalence rates of betel quid chewing (BQC) and cigarette smoking (CS) in Taiwan. The spatial autocorrelation and potential clusters of OC incidence among the 307 townships and heavy metal content of soil throughout Taiwan were identified using the Anselin's local Moran test. Additionally, the spatial correlations among the incidence of OC, the prevalence of BQC and CS and heavy metal content of soil were determined based on a comparison of spatial clusters. High-risk OC (Moran's $I = 0.638$, $P < 0.001$) clusters were located in central and eastern Taiwan, while "hot spots" of BQC and CS prevalence were located mainly in eastern Taiwan. The distributions of BQC and CS lifestyle factors ($P < 0.001$) were spatially autocorrelated. The "hot spots" of OC largely coincided with the "hot spots" of BQC, except for the Changhua and Yunlin counties, which are located in central Taiwan. However, high soil contents of nickel and chromium ($P < 0.001$) in central Taiwan also coincided with the high-risk areas of OC incidence. In particular, Changhua county has incurred several decades of serious heavy-metal pollution, with inhabitants living in polluted areas having high-risk exposure to these metals. Results of this study suggest that, in addition to BQC and CS, anthropogenic pollution may profoundly impact the complexity of OC aetiology in central Taiwan.

Keywords: spatial clustering analysis, geographical information system, oral cancer, aetiology, environmental pollution, Taiwan.

Introduction

Oral cancer (OC), characterised as tumor growth located in the mouth, is mainly of the squamous car-

cinoma type and is prevalent in South and Southeast Asia (Reichart and Way, 2006). Countries such as Bangladesh, India, Papua New Guinea and Taiwan have alarmingly high incidence rates of OC, while the incidence and mortality rates of OC in Brazil and the United States of America have declined in recent decades (Boing et al., 2006; Kingsley et al., 2008). Conversely, in Taiwan, OC is among the fastest increasing malignancies with a 5.3-fold increase in the incidence of OC for males and a 2-fold increase for females from 1982 to 2001 (Su et

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al., 2007). OC is more frequent in males and ranked as the fourth leading cause of cancer-related deaths in Taiwan since 2003 (DOH, 2003).

The International Agency for Research on Cancer (IARC), the World Health Organization's (WHO's) leading source for information about cancer (<http://www.iarc.fr/>) has identified intake of alcoholic beverages, betel quid chewing (BQC) with tobacco, and tobacco smoking as human carcinogens, with the target organs including the oral cavity, pharynx, larynx and esophagus (IARC, 1986, 1988). A statistically significant correlation between OC and BQC has been clearly demonstrated. As cigarette smoking (CS) and alcohol drinking play important roles in the aetiology of OC, these two activities may act synergistically (Blot et al., 1988; Merletti et al., 1989; Choi and Kahyo, 1991; Oreggia et al., 1991; Cancela et al., 2009). A case-control study in Taiwan revealed that the incidence of OC in patients who consumed tobacco, alcohol and betel quid was 123-fold higher than that of abstainers (Ko et al., 1995). However, as BQC and CS normally coexist in Taiwan, the effects of BQC on human health in Taiwan cannot be easily distin-

guished from the integrated effects of both (Wen et al., 2005). Although the betel quid in Taiwan does not contain tobacco, the OC incidence in Taiwan is still higher than that in India, where most of the betel quid contains tobacco (Yang et al., 2005).

Advances in statistical methods involving disease mapping have created various research opportunities in fields such as environmental pollution and disease epidemiology. For example, it is now possible to easily present data cartographically as disease-specific maps, which facilitate the identification of possible factors related to the occurrence of disease as well as provide an additional perspective on clinical medicine, epidemiological studies and health improvement. A disease phenomenon clustered across space and time can be identified using cluster analysis approaches such as the Anselin's local Moran test (Rainey et al., 2006).

Consulting the Taiwan Cancer Database for the time period between 1995 and 2006, shows that the central part (Changhua and Yunlin counties) and eastern part (Taitung and Hualien counties) of Taiwan carry persistently high incidence rates of OC. However, as seen in Table 1, the male-to-female

Table 1. Annual age-standardised incidence rate (ASIR) of male OC (female) and the average male-to-female ratios of OC incidence in central and eastern Taiwan and the rest of the counties 1995-2006.

Year	Central Taiwan		Eastern Taiwan		Others ^a
	Changhua	Yunlin	Taitung	Hualien	
1995	28.36 (2.49)	22.55 (1.70)	25.58 (7.87)	13.67 (4.34)	13.34 (1.56)
1996	30.10 (2.50)	25.64 (1.13)	30.57 (9.86)	28.96 (4.23)	14.26 (1.95)
1997	31.16 (1.96)	36.34 (1.70)	32.49 (13.91)	23.09 (7.11)	16.70 (2.01)
1998	36.90 (1.75)	29.87 (4.02)	34.04 (4.64)	28.41 (5.58)	19.35 (2.09)
1999	40.66 (1.78)	37.89 (3.66)	41.21 (7.18)	35.02 (5.66)	20.71 (2.34)
2000	40.65 (2.49)	37.01 (3.18)	39.53 (5.88)	36.05 (5.10)	22.87 (2.11)
2001	41.39 (2.15)	38.42 (2.79)	37.63 (14.46)	24.63 (6.69)	23.27 (2.55)
2002	40.65 (3.59)	36.69 (1.87)	42.81 (8.00)	34.73 (3.55)	23.65 (2.74)
2003	46.45 (3.32)	55.81 (4.08)	61.02 (14.54)	41.20 (5.99)	27.25 (2.60)
2004	51.85 (3.12)	54.01 (3.47)	52.17 (11.81)	46.88 (6.39)	28.85 (2.98)
2005	48.02 (2.86)	51.43 (2.62)	50.51 (12.16)	42.09 (7.50)	29.18 (2.77)
2006	52.69 (3.58)	60.07 (3.30)	62.55 (12.92)	51.81 (9.09)	31.50 (2.89)
Ratio ^b	16.02	15.60	4.50	5.88	9.37

ASIR (per 100 000 person-year); parentheses indicate age-standardised incidence rates of female OC.

^aThe average OC incidence rates for the rest of the 18 counties in Taiwan except for Changhua, Yunlin, Taitung and Hualien counties.

^bThe average male-to-female ratios of OC incidence for 12 years. The male-to-female ratios in other countries: Papua New Guinea (1.60), Sri Lanka (2.91), France (8.97), India (2.41), USA (2.14), Taiwan (9.47) and global average (2.55) in 2000 (Ferlay et al., 2001).

ratio of OC incidence is around 15.81 in the central area (16.02 and 15.60 for Changhua and Yunlin counties, respectively) in contrast to that of 5.19 in the eastern area (4.50 and 5.88 for Taitung and Hualien counties, respectively) and the global average which is 2.55 (Ferlay et al., 2001). Thus, the cause of high OC incidence in these two areas may not be the same.

This study examines whether these previous findings, i.e. high OC incidence in central and eastern Taiwan, is statistically valid and whether other areas also have an elevated risk of OC. Additionally, geographical correlations of OC incidence with BQC and CS prevalence have been explored to evaluate the extent to which these two factors might explain the high incidence of OC reported as OC “hot spots”.

Materials and methods

Data on oral cancer patients

Taiwan established its comprehensive cancer registry in 1979. It was followed by a compulsory health insurance system in 1995, which currently covers over 95% of its 23 million residents (Su et al., 2007). The OC registration data from 1996 to 2002 were provided by Taiwan’s Department of Health (DOH), which contains 19,535 male and 2,166 female patients diagnosed with malignant oral cavity cancer (ICD-O-FT T-140–141, 143–146, 148–149, see Appendix). The patients’ homes were classified according to the residence code of each township. As Taiwan comprises 307 townships, each township was treated as a unit in the analysis in this study.

Data on known risk habits of OC

The prevalence rates of BQC and CS were estimated from the National Health Interview Survey (NHIS) data in 2002 by Taiwan’s DOH. The survey subjects included 1,086 males and 1,473 females. Similarly, data on the prevalence rates of BQC and CS were studied at the township level.

Data on age-specific population in Taiwan

The age-specific populations in each township from 1996 to 2002 were obtained from Taiwan’s household registration system. The age-standardised incidence rate (ASIR) was based on the WHO world standard age-specific population for the year 2000 (Ahmad, 2000).

Data on the content of heavy metals in soil

Soil data were derived from a nationwide survey that determined the content in agricultural topsoil (0–15 cm) of arsenic (As), cadmium (Cd), chromium (Cr), copper (Cu), mercury (Hg), nickel (Ni), lead (Pb) and zinc (Zn), as obtained from the Environmental Protection Administration (EPA) in Taiwan from 1983 to 1986 (ROCEPA, 1989). The total concentration of extractable As and Hg in the soil was determined based on the aqua regia method, as well as the other six heavy metals by the 0.1 N HCl extraction method. A grid cell size of 1,600 ha was used as a sampling unit and 936 soil samples were collected across Taiwan.

Risk mapping and smoothing

Excess-risk is the ratio of the observed OC incidence rate in each township to the average OC incidence rate of the entire study area. A value larger than one indicates that the township has a higher OC risk than the state average, while a value less than one signifies the opposite. Based on the excess-risk measure, a map can be constructed for evaluation of the risk of OC for each township. However, incidence rates of relatively rare diseases such as OC may be unstable. Therefore, an attempt was made to alleviate the variation of the OC incidence rate in administrative units with small populations by applying the spatial rate smoothing procedure. The procedure is based on the moving average or ‘window’ average of crude rates of each unit area, and a window is decided according to the k-nearest neighbour criterion (Fang, et al., 2006). In this study,

$k = 6$ is used, i.e. the rates of exact 6 nearest neighbours of each unit (township) were combined to obtain the spatial-smoothed rate of that unit.

Spatial statistical analyses

A cluster-detection software programme, GeoDa0.9.5-I (Anselin, 2005) was used to test our hypothesis regarding spatial OC clusters in Taiwan. The null hypothesis for this study is that there is no spatial correlation in the OC incidence rates among neighbouring townships, while the alternative hypothesis is that such spatial correlation exists. The programme tested for spatial clustering was based on township area data, including OC case and at-risk population, as well as the location output, size and significance level of clusters.

The Moran Index (Moran's I) is a global spatial autocorrelation statistics method used to describe the overall spatial dependence of OC incidence over the study area. However, local spatial autocorrelation statistics (Moran's I_i) could provide a determinant for each individual site, and it has also an attribute value correlated with the values in neighbouring sites. Specifically, the global Moran's I is a weighted average of local Moran's I_i . Local autocorrelation analysis is performed based on the local indicator of spatial autocorrelation (LISA) statistics developed by Anselin (Anselin, 1995). The utility of this approach is that significant local homogeneous

“hot spots”, or heterogeneous “cold spots” areas, can be identified. The null hypothesis of the spatial autocorrelation test is that the OC incidence is not associated with neighbouring township levels, i.e. there is no spatial autocorrelation. An alternate hypothesis is that spatial clustering exists, i.e. neighbouring townships have a similar OC incidence. This study also defined a contiguity-based spatial weight, which was constructed for each township by queen contiguity relationships, which defines spatial neighbours as areas with a shared border and vertices (Lai et al., 2009). For a statistical inference, 999 Monte Carlo permutations were performed with the significance level set as 0.001.

Results

Descriptive analysis

From 1996 to 2002, 21,701 cases of OC were reported to the DOH. The 7-year average annual raw incidence rates of OC in males and females per 100,000 person-years were 24.84 and 2.89 cases, respectively. After adjusting for age, the 7-year average annual ASIR were 25.74 male and 3.61 female cases per 100,000 person-years. The ASIR of male OC varied from 19.42 to 29.14 cases per 100,000 person-years, while the variation of female ASIR was between 2.85 and 4.45 cases per 100,000 person-years (Table 2). An increasing trend was observed in

Table 2. Annual and 7-year average annual incidence rates of OC in Taiwan 1996-2002.

Year	Male				Female			
	Case	At-risk population	Raw IR ^a	ASIR ^b	Case	At-risk population	Raw IR ^a	ASIR ^b
1996	2,005	10,979,958	18.26	19.42	261	10,383,277	2.51	2.85
1997	2,259	11,074,056	20.40	21.55	271	10,498,429	2.58	3.63
1998	2,561	11,156,256	22.96	26.06	278	10,606,759	2.62	3.88
1999	2,977	11,225,582	26.52	28.15	323	10,701,168	3.02	3.17
2000	3,138	11,303,274	27.76	27.03	314	10,804,881	2.91	3.60
2001	3,250	11,347,476	28.64	28.80	369	10,879,049	3.39	4.45
2002	3,345	11,390,513	29.37	29.14	350	10,950,071	3.20	3.66
Average ^c	2,791	11,211,016	24.84	25.74	309	10,689,091	2.89	3.61

^aIR = incidence rate (per 100,000 person-year); ^bASIR = age-standardised incidence rate (per 100,000 person-year); ^cAverage = the average values for 7 years, 1996-2002.

the male OC incidence rate. It should be added that 90% of all OC cases in this study were males and only 10% females, which is a strong indicator of the predominance of OC among males in Taiwan.

Spatial OC mapping in Taiwan

Figure 1 displays a map depicting the distribution of the counties in Taiwan, while Figs. 2a and 2b illustrate the distribution of raw incidence and ASIR of male OC cases. An examination of Figs. 2a and 2b reveal aggregated areas representing a high OC incidence rate in Changhua, Yunlin, Taitung and Hualien counties, which are located in central and eastern Taiwan. According to the spatial smoothed map, central and eastern Taiwan apparently has a

higher OC rate than other regions on the island (Fig. 2c). The distribution of excess-risk (Fig. 2d), indicates that central and eastern Taiwan are the main high-risk areas of male OC. Figure 3 displays the distribution of female OC incidence rate and the areas characterised by a high OC incidence rate in Hualien and Taitung counties, which are located in eastern Taiwan.

Spatial clustering of OC incidence rate

Spatial autocorrelation analyses for the 7-year average annual ASIR of OC in Taiwan from 1996 to 2002 revealed a significant global Moran's $I = 0.638$ ($P < 0.001$), implying that the distribution of OC is spatially autocorrelated. Based on the global analy-

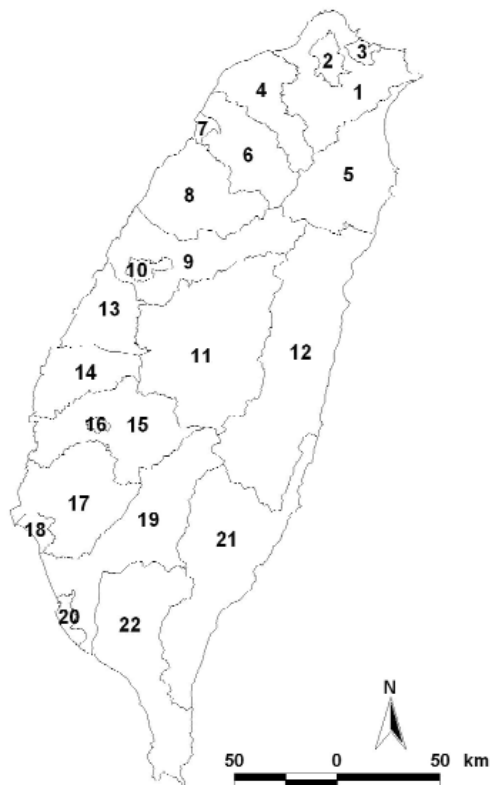


Fig. 1. Map of Taiwan at the county level. 1) Taipei county, 2) Taipei city, 3) Keelung county, 4) Taoyuan county, 5) Yilan county, 6) Hsinchu county, 7) Hsinchu city, 8) Miaoli county, 9) Taichung county, 10) Taichung city, 11) Nantou county, 12) Hualien county, 13) Changhua county, 14) Yunlin county, 15) Chiayi county, 16) Chiayi city, 17) Tainan county, 18) Tainan city, 19) Kaohsiung county, 20) Kaohsiung city, 21) Taitung county, 22) Pingtung county.

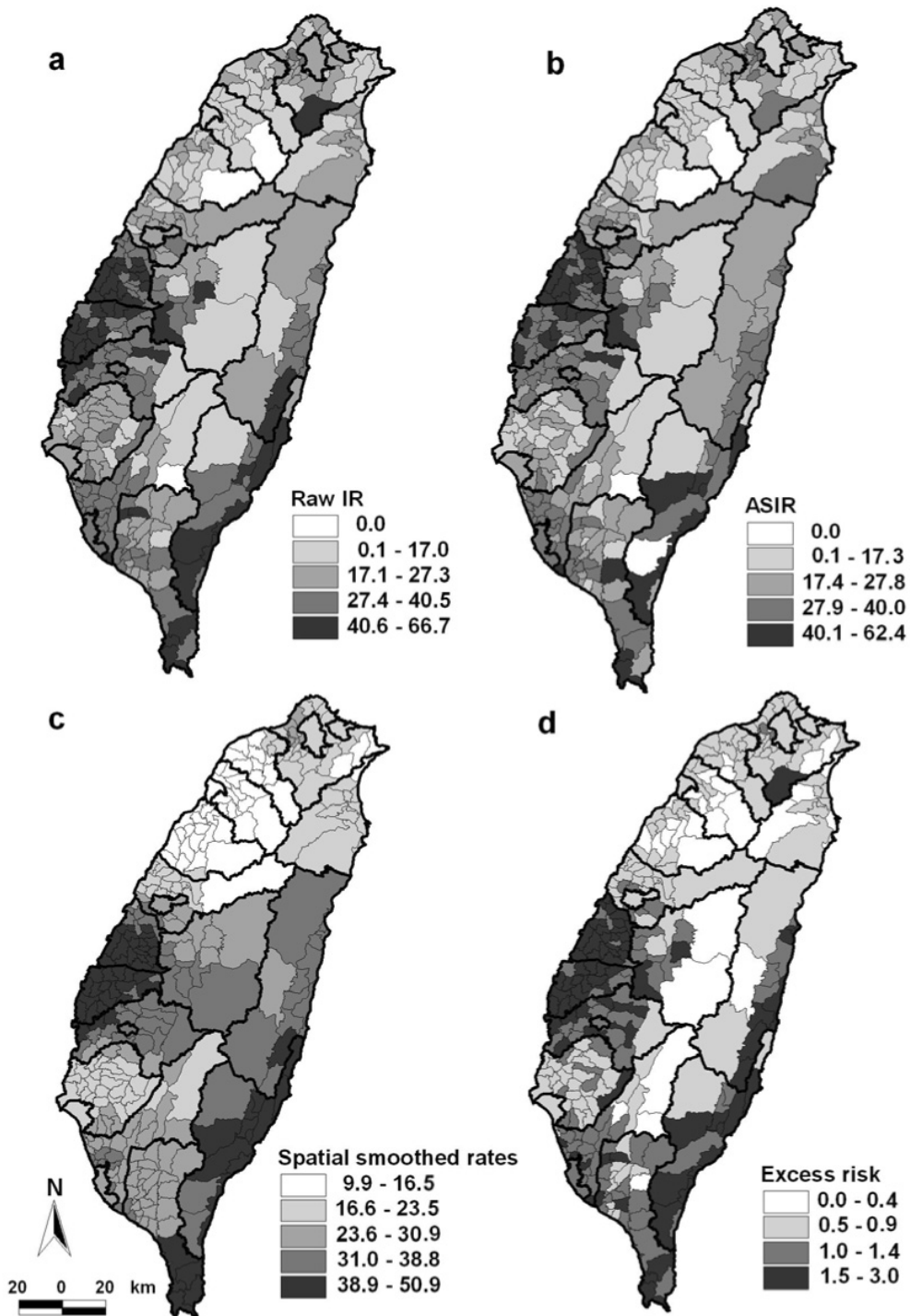


Fig. 2. Spatial distribution of incidence rate of male OC in Taiwan 1996-2002. a) Raw incidence rates (IR), b) age-standardised incidence rates (ASIR), c) spatial smoothed rates, and d) excess risk map.

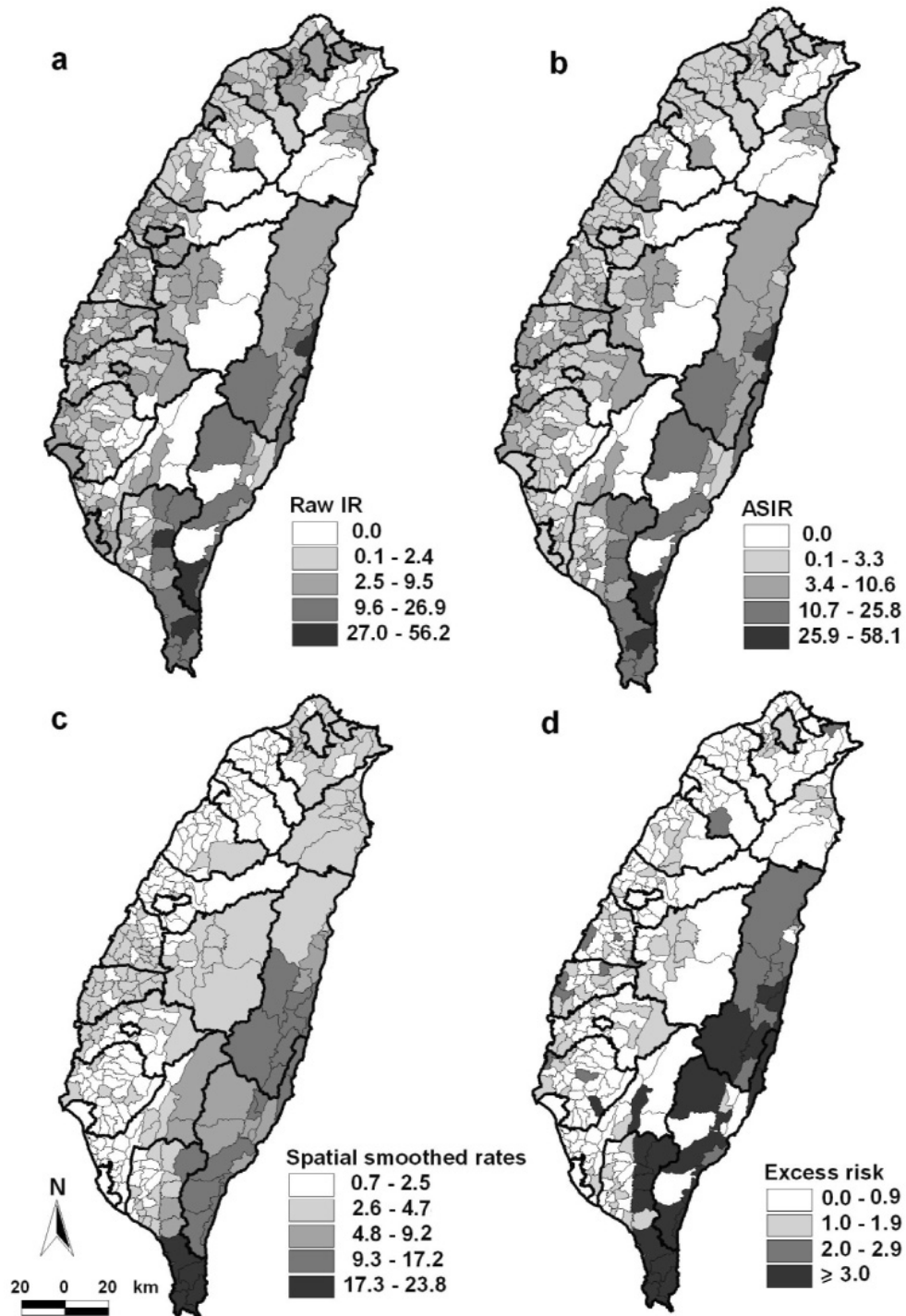


Fig. 3. Spatial distribution of incidence rate of female OC in Taiwan 1996-2002. a) Raw incidence rates (IR), b) age-standardised incidence rates (ASIR), c) spatial smoothed rates, and d) excess risk map.

sis results, the “hot spots” were identified by performing the Local Moran test for spatial autocorrelation. The Local Moran test identified two major “hot spots” with a high ASIR similar to their neighbouring areas. The first high-risk OC cluster was located in central Taiwan on and around the Changhua and Yunlin counties. The second high-risk cluster was detected in Taitung county and Hualien county in eastern Taiwan (Fig. 4a).

Spatial clustering of BQC and CS prevalence rates

As a determinant of global spatial autocorrelation, the global Moran's I were both significant ($P < 0.001$) for prevalence rates of BQC (Moran's $I = 0.708$) and CS (Moran's $I = 0.491$). Only one local homogenous “hot spot” with a high BQC prevalence rate was identified using LISA. The

major “hot spot” of BQC prevalence rate was located in Taitung and Hualien counties in eastern Taiwan (Fig. 4b). As for the prevalence rate of CS, a single large area with a high CS prevalence rate was detected. Although the spatial cluster location of CS shifted upwards, as compared with the cluster location of BQC, it was still located mainly in eastern Taiwan (Fig. 4c). Unexpectedly, both identified potential clusters of high BQC and CS prevalence rates might not be exactly consistent in locations with the high-risk areas of OC identified by LISA.

Spatial clustering of heavy metals in soil

Figure 5 illustrates the spatial distribution of Cr and Ni content in soils throughout Taiwan from 1983 to 1986, as identified by the LISA test. Although the distributions of these eight heavy met-

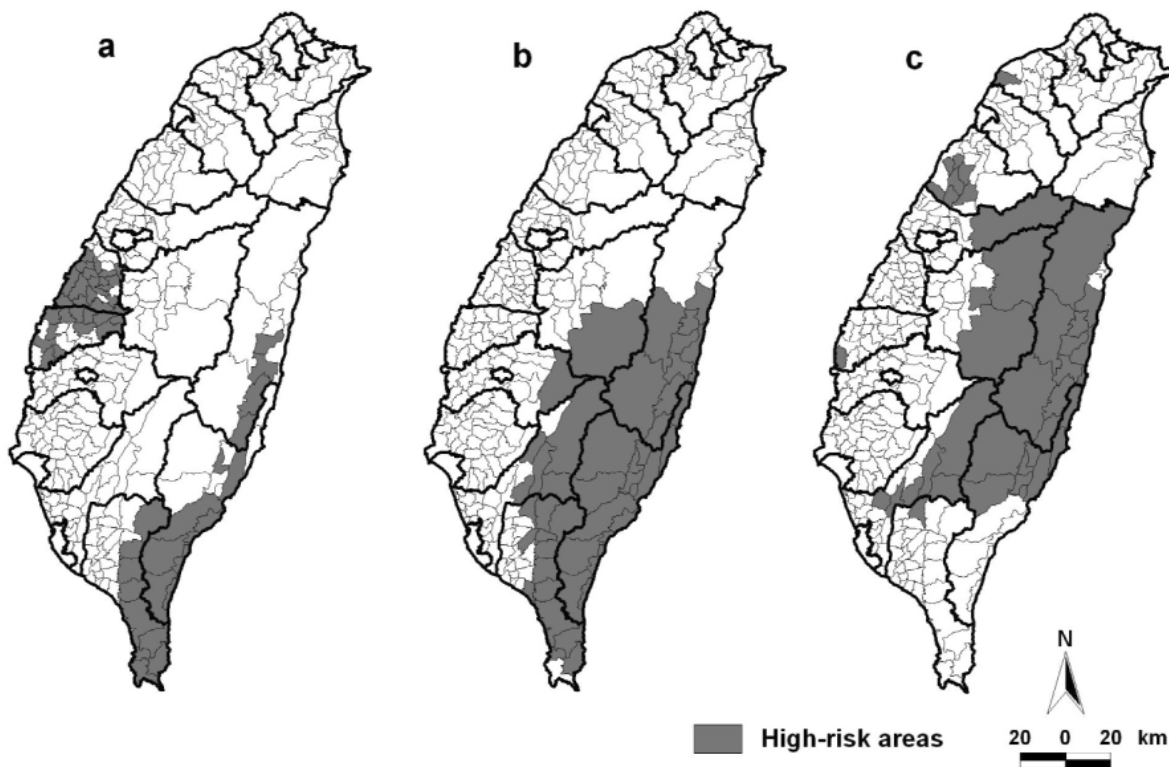


Fig. 4. Statistically significant ($P < 0.01$) high-risk clusters of OC incidence rate and the prevalence rates of BQC and CS in Taiwan identified by the Anselin's Local Moran test. a) OC incidence rate, b) BQC prevalence rate, c) CS prevalence rate.

als (As, Cd, Cr, Cu, Hg, Ni, Pb and Zn) content were spatially autocorrelated ($P < 0.001$) except for Cd, the “hot spots” of high soil Cr (Moran’s $I = 0.514$) and Ni (Moran’s $I = 0.337$) content were clustered in central Taiwan.

Discussion

BQC and CS constitute established risk factors for OC in Taiwan. Many studies have demonstrated that BQC is the most important risk factor (Ko et al., 1995; Lu et al., 1996), but according to our results the aggregated areas of high BQC and CS prevalence rates were not the same as the geographic locations of high-risk OC. This finding does not necessarily contradict the results of other studies, which pointed to BQC and CS as the major culprits for OC. However, the observation implies that,

although these two lifestyle factors might significantly impact the aetiology of OC, other aetiological or promoting factors, e.g. anthropogenic pollution, may also be pertinent for the onset of OC in Taiwan.

Studies in countries such as Australia, Taiwan, and the United States of America have demonstrated disparities in health between aboriginal and non-aboriginal individuals in a given population (Ko et al., 1994). BQC is a traditional aboriginal activity during festivals or ceremonial rituals in Taiwan. The whole population of 23 million includes various populations such as Hokkien (73%), Hakka (12%), Mainland Chinese (13%), and aboriginal ethnic groups (2%) (DOP, 1992). The latter reside mainly in the eastern valleys and central mountainous areas (Lin et al., 2008). In 2008, Hualien county was the home of 18.2% of the entire aboriginal population,

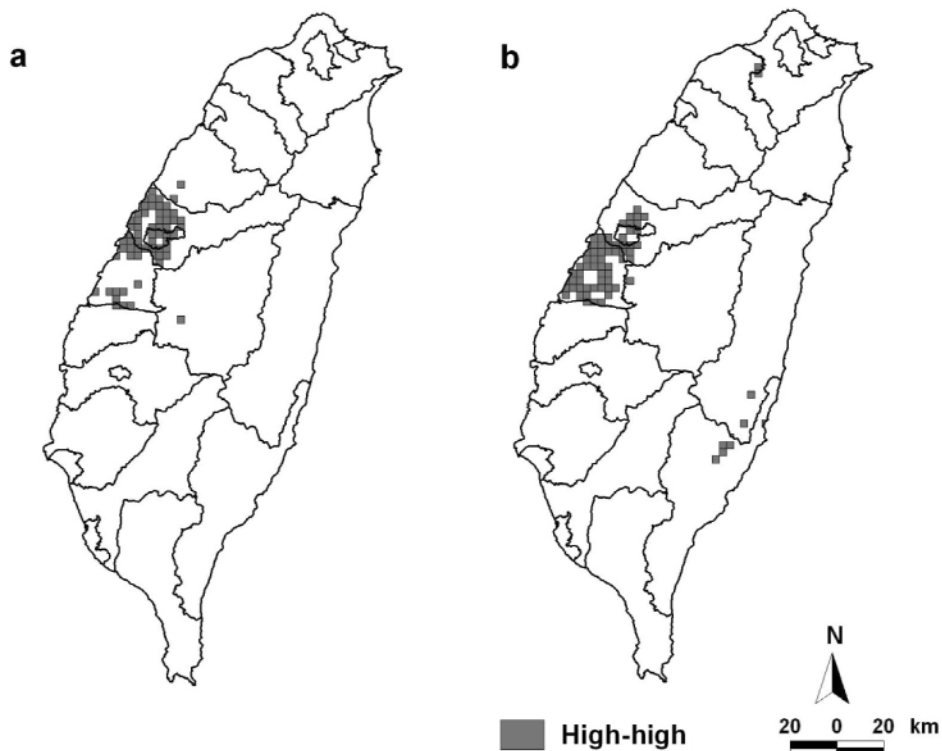


Fig. 5. Statistically significant ($P < 0.01$) clusters of high chromium (a) and nickel (b) content.

which accounts for the largest proportion of the aboriginal population on the island, followed by Taitung county with 16.0% (DHR, 2008). Taitung and Hualien counties, situated in the eastern part of Taiwan, have a high incidence of OC, and the BQC prevalence rates are high as well. Therefore, these two lifestyles largely account for the high-risk cluster of OC located in these two counties. In contrast, Changhua and Yunlin counties have less than 1% of the total aboriginal population. According to the results of the LISA cluster detection method, Changhua county, situated in central Taiwan, appears to belong to a high-risk OC area despite the fact that the prevalence rate of BQC in this county only ranked 11th among 23 counties (Yang et al., 2002). However, most of the industrial plants for electroplating and other metal surface treatment are situated in this county. These types of factories are widely distributed throughout the area, possibly leading to serious heavy metal pollution of soil (Lin et al., 2002). A previous investigation of Changhua county indicates that the levels of chromium in whole blood (B-Cr) and urinary nickel (U-Ni) of local residents living in the factory-dense areas are higher than those in other areas (Chang et al., 2006a, b). Moreover, according to some studies, the blood nickel level of the non-occupationally exposed populations increased owing to environmental pollution (Linden et al., 1985; Demir et al., 2005). Thus, in addition to lifestyle factors such as BQC and CS, environmental factors might be linked to an increased risk of OC. Furthermore, the levels of female U-Ni were significantly higher than U-Ni level found in males (Chang et al., 2006b). Another study demonstrated that the mean concentrations of Ni, Cu, Zn and Pb in the sweat in healthy females were higher than those in males (Hohnadel et al., 1973). Females thus appear to have a better capability of excreting heavy metals from the body. If true, this may partially explain why the incidence of OC in females is substantially lower than in males in Changhua county, i.e. a male-to-female ratio where the highest values exceeded 16.

Spatial analysis is obviously of value and it is

believed that such methods will gradually become an integral component of epidemiological research and OC risk assessment. If a disease map were complemented with cluster detection, public health policy-makers could better prioritize the specific areas where comprehensive investigations should be undertaken.

This study identified a high incidence of OC in central and eastern Taiwan, necessitating enhanced OC prevention and control measures, as well as efficient allocation of public health resources. Moreover, Changhua and Yunlin counties, i.e. high-risk areas for OC, could not be explained by BQC and CS only, or by ethnic differences. Therefore, further research is warranted with respect to the detected "hot spots" with the aim to identify the most important determinants for the incidence of OC in the high-risk areas in central Taiwan. Epidemiological evidence has been established in recent years on how exposure to heavy metals, e.g. As, Cr, and Ni, and cancer are related (Hayes, 1997). In addition, experimental studies have clearly demonstrated that sodium dichromate dihydrate (Cr(VI) in drinking water causes oral cavity neoplasms in rats (Salnikow and Zhitkovich, 2008; Stout et al., 2009). Although our study found a higher incidence of OC risk in Changhua county than in any other area known to have a high OC incidence, it remains unclear whether or not environmental pollution increases the incidence of OC. However, according to our results, spatial distributions of heavy metals Cr and Ni in soils closely coincided with the spatial pattern of OC incidence. These clusters were similarly found in central Taiwan suggesting that a case-control study to compare contents of heavy metals in blood between OC and non-cancer patients is warranted as it would determine whether or not an increase in OC risk is related to specific heavy metals.

Appendix

ICD-O-FT (International Classification of Diseases for Oncology-Field Trial Edition) is used to code and classify anatomic site and histologic type

of cancer cases. These codes denote: T-140, lip; T-141, tongue; T-143, gum; T-144, floor of the mouth; T-145.2, T-145.3, T-145.5, palate; T-145.0, buccal mucosa; T-145.1, T-145.4, T-145.8, T-145.9, other and unspecified parts of mouth; T-146, oropharynx; T-148, hypopharynx; T-149, other and ill-defined sites in the lip, oral cavity and pharynx.

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